

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
CENTRAL FAX CENTER

FEB 03 2006

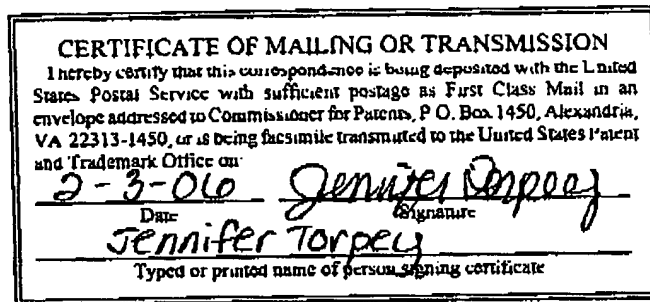
Applicant: Steven I. Ross, Jeffrey G. MacAllister, Christopher A. Hyland,
Marijane M. Zeller, and Kathleen A. Howard

Application No.: 10/044,647 Group: 2655

Filed: January 10, 2002 Examiner: B. L. Albertalli

Confirmation No.: 9383

For: METHOD AND APPARATUS FOR PERFORMING DIALOG
MANAGEMENT IN A COMPUTER CONVERSATIONAL
INTERFACE



Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for filing in the above-identified application.

- [] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.
- [] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

10/044,647

-2-

The claims fee has been calculated as shown below:

| | | | | | SMALL ENTITY | | OTHER THAN SMALL ENTITY | | |
|---|----------------------------------|----------|--------------------------------|---------------|--------------|------------|-------------------------|--------------|------------|
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NO PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADDIT. FEE | OR | RATE | ADDIT. FEE |
| TOTAL | 21 | MINUS * | 20 | 1 | X \$25 | \$ | | X \$50 | \$ 50 |
| INDEP | 5 | MINUS ** | 5 | 0 | X \$100 | \$ | | X \$200 | \$ 0 |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP CLAIM | | | | | + \$180 | \$ | | + \$360 | \$ |
| | | | | | TOTAL= \$ 0 | | | TOTAL= \$ 50 | |

* not fewer than 20
** not fewer than 3

The Application Size Fee has been calculated as shown below:
(Effective for cases filed on or after December 8, 2004)

| Actual Sheets (Including current amendment) | Highest No. of Sheets Paid For (At least 100) | No. of Additional Units Required (Increments of 50 sheets) | SMALL ENTITY | | OTHER THAN SMALL ENTITY | | Payment Sufficient for up to [] Sheets |
|---|---|--|--------------|-------------------|-------------------------|-------------------|--|
| | | | Rate | Total Amount Owed | Rate | Total Amount Owed | |
| | | | X \$125 | \$[] | X \$250 | \$[] | |

Petition for Extension of Time

- [X] Applicant hereby petitions to extend the time to respond to the Office Action dated October 3, 2005 for one month from January 3, 2006 to February 3, 2006. The appropriate fee is set forth below.
- [] [For action-specific language in an extension of time, go to insert, file, public folders, firm templates, and select the appropriate paragraph.]

10/044,647

-3-

**RECEIVED
CENTRAL FAX CENTER**

FEB 03 2006

Please charge Deposit Account No. 08-0380 for the following fees:

| | | | |
|-------------------------------------|--|--------|--------|
| <input checked="" type="checkbox"/> | Petition for one month Extension of Time | \$ | 120 |
| <input checked="" type="checkbox"/> | Claims Fee | \$ | 50 |
| <input type="checkbox"/> | Application Size Fee | \$ | |
| <input type="checkbox"/> | Other Fees: | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | TOTAL: | \$ 170 |

A check is enclosed in payment of the following fees:

| | | | |
|--------------------------|--|--------|------|
| <input type="checkbox"/> | Petition for one month Extension of Time | \$ | |
| <input type="checkbox"/> | Claims Fee | \$ | |
| <input type="checkbox"/> | Application Size Fee | \$ | |
| <input type="checkbox"/> | Other Fees: | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | TOTAL: | \$ 0 |

☒ Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mark B. Solomon
Mark B. Solomon, Esq.
Registration No.: 44,348
Telephone (978) 341-0036
Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: 2/3/06

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
530 Virginia Road, P.O. Box 9133
Concord, MA 01742-9133

RECEIVED
CENTRAL FAX CENTER

FEB 03 2006

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

CENTRAL LOCATION
FACSIMILE COVER SHEET

Examiner: Brian Louis Albertalli **Group:** 2655
Date: February 3, 2006
Client Code: 1280
Facsimile No.: 571-273-8300
From: Mark B. Solomon, Esq.
Subject: Paper: Amendment and Amendment Fee Transmittal Form
Docket No.: 1280.2005-000
Applicants: Steven I. Ross, *et al.*
Serial No.: 10/044,647
Filing Date: January 10, 2002

Number of pages including this cover sheet: 17

Please confirm receipt of facsimile: Yes XX No

Comments:

Privileged and Confidential - All information transmitted hereby is intended only for the use of the addressee(s) named above. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any distribution or copying of this communication is strictly prohibited. Anyone who received this communication in error is asked to notify us immediately by telephone and to destroy the original message or return it to us at the above address via first class mail.

MLW/JTH/jk

February 3, 2006

**RECEIVED
CENTRAL FAX CENTER****FEB 03 2006****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

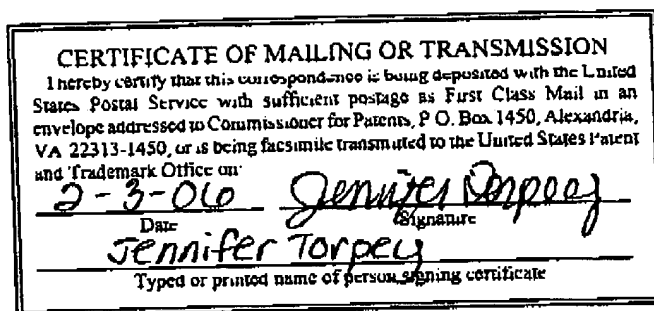
Applicant: Steven I. Ross, Jeffrey G. MacAllister, Christopher A. Hyland,
Marijane M. Zeller, and Kathleen A. Howard

Application No.: 10/044,647 Group: 2655

Filed: January 10, 2002 Examiner: B. L. Albertalli

Confirmation No.: 9383

For: METHOD AND APPARATUS FOR PERFORMING DIALOG
MANAGEMENT IN A COMPUTER CONVERSATIONAL
INTERFACE



Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment for filing in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.
- ☐ A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

02/07/2006 WABDELRI 00000009 080380 10044647

01 FC:1251 120.00 DA

10/044,647

-2-

The claims fee has been calculated as shown below:

| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NO PREVIOUSLY PAID FOR | PRESENT EXTRA |
|--|---|----------|--------------------------------------|------------------|
| TOTAL | 21 | MINUS * | 20 | 1 |
| INDEP | 5 | MINUS ** | 5 | 0 |
| FIRST PRESENTATION OF MULTIPLE DEP CLAIM | | | | |

* not fewer than 20
** not fewer than 3

SMALL ENTITY

| | RATE | ADDIT. FEE |
|---|--------|---------------|
| X | \$ 25 | \$ |
| X | \$ 100 | \$ |
| + | \$ 180 | \$ |

TOTAL= \$ 0OTHER THAN
SMALL ENTITY

| | RATE | ADDIT. FEE |
|---|--------|---------------|
| X | \$ 50 | \$ 50 |
| X | \$ 200 | \$ 0 |
| + | \$ 360 | \$ |

TOTAL= \$ 50

The Application Size Fee has been calculated as shown below:
(Effective for cases filed on or after December 8, 2004)

| Actual Sheets (Including current amendment) | Highest No. of Sheets Paid For (At least 100) | No. of Additional Units Required (Increments of 50 sheets) |
|--|--|--|
| | | |

SMALL ENTITY

| Rate | Total Amount Owed |
|---------|-------------------------|
| X \$125 | \$[] |

OTHER THAN
SMALL ENTITY

| Rate | Total Amount Owed |
|---------|-------------------------|
| X \$250 | \$[] |

Payment
Sufficient for
up to

[] Sheets

Petition for Extension of Time

[X] Applicant hereby petitions to extend the time to respond to the Office Action dated October 3, 2005 for one month from January 3, 2006 to February 3, 2006. The appropriate fee is set forth below.

[] [For action-specific language in an extension of time, go to insert, file, public folders, firm templates, and select the appropriate paragraph.]

10/044,647

-3-

RECEIVED
CENTRAL FAX CENTER

FEB 03 2006

Please charge Deposit Account No. 08-0380 for the following fees:

| | | | |
|-------------------------------------|--|--------|--------|
| <input checked="" type="checkbox"/> | Petition for one month Extension of Time | \$ | 120 |
| <input checked="" type="checkbox"/> | Claims Fee | \$ | 50 |
| <input type="checkbox"/> | Application Size Fee | \$ | |
| <input type="checkbox"/> | Other Fees: | \$ | |
| | | \$ | |
| | | \$ | |
| | | TOTAL: | \$ 170 |

A check is enclosed in payment of the following fees:

| | | | |
|--------------------------|--|--------|------|
| <input type="checkbox"/> | Petition for one month Extension of Time | \$ | |
| <input type="checkbox"/> | Claims Fee | \$ | |
| <input type="checkbox"/> | Application Size Fee | \$ | |
| <input type="checkbox"/> | Other Fees: | \$ | |
| | | \$ | |
| | | \$ | |
| | | TOTAL: | \$ 0 |

☒ Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Mark B. Solomon
Mark B. Solomon, Esq.
Registration No.: 44,348
Telephone (978) 341-0036
Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: 2/3/06

@PFDesktop\ODMA\MHODMA\HBSR05\iManage\603221.1
MLW/JTH/jk
February 3, 2006

PATENT APPLICATION
Attorney's Docket No. 1280.2005-000

RECEIVED
CENTRAL FAX CENTER

FEB 03 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven I. Ross, Jeffrey G. MacAllister, Christopher A. Hyland, Marijane M. Zeller, and Kathleen A. Howard

Application No.: 10/044,647

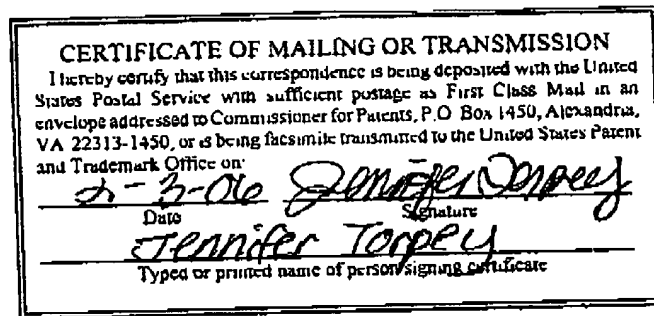
Group: 2655

Filed: January 10, 2002

Examiner: B. L. Albertali

Confirmation No.: 9383

For: METHOD AND APPARATUS FOR PERFORMING DIALOG MANAGEMENT IN A
COMPUTER CONVERSATIONAL INTERFACE



AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Amendment is being filed in response to the Office Action mailed from the U.S. Patent and Trademark Office on October 3, 2005 in the above-identified application. Reconsideration and further examination are requested.

An extension of time to respond to the Office Action is respectfully requested. A Petition for Extension of Time and the appropriate fee are being filed concurrently with this Amendment.

Please amend the application as follows: